

# BNY Mellon Investment Servicing Trust Company - Disclaimer and Affidavit for Designated Beneficiary

## DECEASED ACCOUNT OWNER INFORMATION

NAME: \_\_\_\_\_  
STREET ADDRESS: \_\_\_\_\_  
CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_  
SOCIAL SECURITY NUMBER: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_ DATE OF DEATH: \_\_\_\_\_

## ACCOUNT INFORMATION

ACCOUNT NUMBER: \_\_\_\_\_ ☐ TRADITIONAL/ROLLOVER IRA ☐ ROTH IRA ☐ SEP IRA ☐ SIMPLE IRA

## YOUR INFORMATION

NAME: \_\_\_\_\_  
STREET ADDRESS: \_\_\_\_\_  
CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_  
SOCIAL SECURITY NUMBER: \_\_\_\_\_  
CELL PHONE NUMBER: \_\_\_\_\_ ALTERNATE PHONE NUMBER: \_\_\_\_\_

**DEATH CERTIFICATE (REQUIRED):** ☐ ENCLOSED ☐ ALREADY PROVIDED (CORRESPONDENCE REF#, IF APPLICABLE \_\_\_\_\_)

**Note: If you wish to disclaim assets that are due to you, you must do so within 9 months of the deceased account owner's date of death. If you are under 21 years of age on the deceased account owner's date of death, you must do so within 9 months of the date you reach age 21.**

I believe I am a/the designated beneficiary of the above referenced account. As such, I hereby irrevocably and unequivocally disclaim, renounce, and refuse to accept and waive all rights to the following amounts in the account. (Select one)

- ☐ All assets due to me or
- ☐ A portion of the assets due to me. I disclaim \_\_\_\_\_ shares (or) \_\_\_\_\_ % of shares due to me (cannot be stated as a dollar value).

I understand that, as a consequence of the foregoing disclaimer statement, the proceeds of this account will be distributed in accordance with the Custodial Account Agreement and any alternate beneficiary designation of record made by the accountholder, or otherwise in accordance with applicable law, as if I had predeceased the accountholder.

I hereby certify that this disclaimer is unconditionally valid and effective under all laws based on jurisdiction of domicile applicable to the accountholder and all such laws, if any, applicable to me. I further specifically certify that this disclaimer satisfies, and I have satisfied, all other requirements of federal, state and local law, regulation, court order, and legal process applicable to this disclaimer or to disclaimers of interests in property generally including without limitation, to the extent applicable, Section 2518 of the Internal Revenue Code, qualification, competency, timeliness, content, and notice requirements.

Intending to be legally bound and in consideration of the foregoing request, I hereby agree, for myself, my successors, legal representatives, heirs, and assigns, to at all times indemnify and save harmless the above-named Mutual Fund(s), BNY Mellon Investment Servicing Trust Company, BNY Mellon Investment Servicing (US) Inc., and their successors, legal representatives, and assigns, and the affiliates, officers, directors, employees, and agents of any of them (collectively, the "Indemnified Parties"), from and against any and all claims, liabilities, damages, actions, charges, and expenses, including but not limited to attorney's fees and disbursements, sustained or incurred by any of the Indemnified Parties which result from or arise in connection with the reliance by an Indemnified Party on this document or an Indemnified Party acting in accordance with the contents of this document, including without limitation the distribution of the proceeds of the Account to a person or persons other than the undersigned. I hereby further certify and agree that I understand that none of the Indemnified Parties have offered me legal or tax advice with respect to this document and that this document may not be relied upon by me or the beneficiaries of the Account as tax or legal advice with respect to (1) ownership of the account or its proceeds and (2) any federal or state income, estate, gift, inheritance or excise tax or penalties thereon in any form whatsoever.

By: \_\_\_\_\_  
(Signature of Designated Beneficiary or Authorized Representative)

Medallion Signature Guarantee Stamp

Medallion Signature Guarantee Stamp and Signature: An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

### Mail to the following:

### First Class Mail:

MoA Funds  
P.O. Box 534499  
Pittsburgh, PA 15253-4499

### Overnight Mail:

MoA Funds  
ATTENTION: 534499  
500 Ross Street 154-0520  
Pittsburgh, PA 15262

### Customer Service:

1-800-914-8716